



Alabama State Board of Public Accountancy

ARE YOU READY TO REGISTER?

Registration Forms

The 2022-2023 paper Registration forms and online links will be available on the Registration page of the Board's website on October 1. All CPAs, PAs, NLOs and Firms must submit a 2022-2023 Registration Form no later than December 31, 2022. Forms submitted after December 31, 2022 are subject to a late renewal penalty of \$100. Forms submitted after February 28, 2023 are subject to a late renewal penalty of \$500. Disciplinary action will be taken for anyone who has not registered after March 31, 2023.

CPE Reporting

CPE reporting is completed on your annual registration form each year. Please make sure to have your CPE certificates of completion in hand when you complete the CPE reporting portion of your registration. Make sure that you have independently verifiable evidence of completion for 40 hours of CPE, including 8 hours of accounting and auditing CPE and 2 hours of ethics CPE. There is no longer a cap on self-study CPE, so you may complete all your hours through this delivery method, if you so choose.



CPE Extensions

CPE must be completed by September 30, 2022. If you find that you have not met the required hours, please email Nicole Robinson to request a CPE extension. In your email, please include your name, certificate number, the date by which you plan to have your CPE completed, and the reason you need the extension.

False Attestations

The Board strongly advises licensees who have not completed their required CPE to reach out to the Board office. Do not falsely attest completion of CPE courses in order to renew your license as active. Licensees who falsely attest to completion of CPE could face disciplinary action that may include possible censure or revocation, making up the deficient CPE hours, completion of an additional ethics course and substantial fines. The Board encourages licensees to change their status and renew as inactive status rather than falsely attest CPE completion. (Please refer to Board Rules pertaining to Inactive status.)

If you have any questions regarding registration, please contact the Board office.

Contents

Are You Ready to Register	1
Board Member Spotlight: Connie Sheppard Harris	2
Disciplinary Actions	3
Increase in Exam Fees/Waiver of Application Fees	5
What You Need to Do & Know as a New CPA	5
New Certificates	6
Upcoming Board Meetings	7
Helpful Links	7
Information for Initial Exam Candidates	8
Board of Accountancy Staff	9

BOARD MEMBER SPOTLIGHT: CONNIE SHEPPARD HARRIS



Connie Sheppard Harris will complete her second term as Board member of the Alabama State Board of Public Accountancy (“ASBPA”) in September, having served the Board well since her appointment in 2015. Connie has over 30 years of experience as a CPA and has been an integral

leader in accounting services within the state of Alabama and as a Board member of the State Board thus far. Connie is the first African-American woman to chair the ASBPA. During her tenure, she has helped guide the Board, playing a key role in important inclusive initiatives. Connie has played an integral role in making changes to the CPA exam, helping to reduce the exam’s administrative costs, hours, and similar key CPE regulations. In addition to her ASBPA duties, Connie currently serves on the Boards of the General Retirement System for the employees of Jefferson County, the Help to Others (“H2O”) Foundation, where she is a founding Board member, and the University of Montevallo Foundation. In her capacity, Connie oversees the rules and regulations for pension funds of the citizens of Jefferson County, assists lower-income Birmingham citizens access their rights to water, and promotes the value of the accounting certification and reputation by encouraging young women and minorities to pursue a career in public accounting. Ms. Harris is also deeply committed to community involvement, actively serving the local and national chapters of the Links, Incorporated, and Delta Sigma Theta Sorority, Incorporated, as an integral member to both service focused organizations.

Connie has always been an active leader in the accounting profession and in her community. Prior to serving on the Alabama State Board, Connie was an advocate voice on the diversity and student outreach committee of the Alabama Society of Certified Public Accountants. She was also previously a member of the Miles College Advisory Board.

Diversity and inclusion have always been important to Connie throughout her career. As the Founder and President of Sheppard Harris & Associates, one of less than 5 % of Black or female run accounting firms in the state of Alabama, Ms. Harris deeply promotes entrepreneurship among young accountants and overcoming barriers to inclusion in the profession. Upon graduation from the University of Montevallo in 1977, Connie faced many obstacles in obtaining a position at a large accounting firm as one of very few African-American female accounting graduates. Through hard-work and determination, Ms. Harris passed the CPA exam and landed a job with Dozier and Tanker, one of only three African-American CPA firms in the state of Alabama at the time. In 1985, Ms. Harris became a partner of Dozier, Harville & Hughley, further breaking the age-old glass ceiling and perceptions in the profession. Currently, as the President of Sheppard-Harris, Ms. Harris has implemented important initiatives for young persons interested in accounting, including the firm’s internship program, which has been a great success for students to see the challenges, rewards, and versatility of the accounting profession.

She has two children, Courtnie and Gabriel Harris. Courtnie completed her Masters of Accountancy at The University of Alabama at Birmingham and currently works as a Senior Accountant at Sheppard Harris & Associates. Gabriel completed his undergraduate and Masters from Stanford University, and currently works as Senior Marketing Manager for Adidas in Los Angeles, California. Connie loves to spend her time in her garden, volunteering at The Alys Stephens Center, and traveling back and forth to California.



DISCIPLINARY ACTIONS

For a complete listing of disciplinary actions from 2002 to the present, please visit the [Board's website](#).

The facts of each case vary greatly and the Board's ruling is based on the merit of each case.

JULY 15, 2022

NAMED CPA BROGDON, ROBERT R
CERT NO. 1716
CASE # 21CPE-1
ACTION REVOKED
FINE \$3,480
DESCRIPTION Show of Cause - Failed to comply with Board Order dated September 16, 2021 (\$1,480 fine to paid w/in 30 days of board approval, resolve CPE for FYE 9/30/20, & complete NASBA CPT course w/in 45 days); must comply with September 16, 2021 Board order and pay additional \$2,000 fine.

NAMED CPA ELLISON, WENDY PRICKETT
CERT NO. 5102
CASE # 22-2
ACTION REVOKED
FINE \$2,000
DESCRIPTION Failed to enroll in Peer Review; Failed to respond to Board inquiry.

NAMED CPA BUFORD, JULIE CHILDS
CERT NO. 8176
CASE # 22-3
ACTION CENSURED
FINE \$1,250
DESCRIPTION Accepted Consent - failed to register as a firm and to enroll in Peer Review.

NAMED CPA BARNETT, ARNOLD MARK
CERT NO. 13287-R
CASE # 2-D1
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA BLANKENSHIP, BENJAMIN HERBERT
CERT NO. 8322-R
CASE # 22D-4
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA DUNLAP, BARBARA H / DUNLAP ACCOUNTING
CERT NO. P1027
CASE # 22D-18 / 22DF-1
ACTION REVOKED
FINE \$1,000
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA GARRARD, ANN WILSON / ANN GARRARD CPA
CERT NO. 3649
CASE # 22D-18 / 22DF-5
ACTION REVOKED
FINE \$1,000
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA JACOBS, STUART DILWORTH
CERT NO. 13534
CASE # 22D-27
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice

NAMED CPA JOHNSON, SEAN BROOKS
CERT NO. 9714-R
CASE # 22D-28
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice

Continued on page 4



DISCIPLINARY ACTIONS - CONTINUED

NAMED CPA KRAUSE, DOMINIQUE J
CERT NO. 3134
CASE # 22D-31
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA LEWIS, HERBERT EARL
CERT NO. 10973-R
CASE # 22D-34
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA MANN, BRIAN JUSTIN
CERT NO. 12934
CASE # 22D-35
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA PHILLIPS, SAMANTHA BIDDLE
CERT NO. 14148-R
CASE # 22D-42
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA SHAPER, MICHAEL WAYNE
CERT NO. 6798
CASE # 22D-45
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA ZUCCHELLI, RACHEL KRISTINE
CERT NO. 12091
CASE # 22D-52
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA CAMPBELL, KRISTLE GILCHRIST
CERT NO. 10992
CASE # 22D-56
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA DUCKWORTH, MICHELLE YOUNG
CERT NO. 6851-R
CASE # 22D-58
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA ETO, ISAO
CERT NO. 6704
CASE # 22D-62
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA ETO, ISAO
CERT NO. 6704
CASE # 22D-62
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA GRACEY, GARRISON MICHAEL
CERT NO. 13129
CASE # 22D-62
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.

NAMED CPA HAWSEY, KENNETH B
CERT NO. 6720
CASE # 22D-65
ACTION REVOKED
FINE \$500
DESCRIPTION Failed to register and/or apply for an annual permit to practice.



INCREASE IN EXAM FEES / WAIVER OF APPLICATION FEES



Effective August 6, 2022, NASBA and Prometric increased the fees to sit for the CPA exam from \$226.15 per section to \$238.15 per section. Please note that for candidates testing through Alabama, the Board has agreed to waive the application fees to help keep costs down for candidates. This waiver of application fees is reviewed annually by the Board and is currently in effect through December 31, 2022.

WHAT YOU NEED TO DO & KNOW AS A NEW CPA

- 1. You need to submit an initial registration.** All new licensees are emailed an initial registration package that includes a congratulatory letter and your initial registration form. This form is due upon receipt, and disciplinary action will be taken for CPAs who do not initially register within 6 months of licensure. If you do not want to register but wish to avoid disciplinary action, your only option is to surrender your CPA license.
- 2. You need to register annually every October 1 following your initial registration.** After your initial registration, you will be required to register again for the new fiscal year. The annual registration period begins October 1 and must be completed no later than December 31 of each year. Any registrations submitted after December 31 are subject to late penalties. Go ahead and set up an annual reminder each October 1 to prepare and submit your annual registration. Just like *your* driver's license, it is *your* responsibility to remember to register your CPA license.
- 3. If you intend to elect Active status (licensed to practice), you need to document your work experience.** Work experience must be documented prior to electing Active status. The [Experience Verification Form](#) should be completed by your employer(s) and submitted to the Board office.
- 4. If you are planning to elect Inactive status, you need to know what you legally can and cannot do as an Inactive CPA.** The Board website provides quick access to an [Inactive CPA Memo](#) that details Board Rules regarding restrictions for Inactive CPAs. If you elect Inactive status, please review these Rules.
- 5. You need to become familiar with Board Rules.** [The Accountancy Rules](#) and [Accountancy Laws](#) are posted on our website. As a newly licensed CPA, familiarize yourself with the regulations that you must abide by.
- 6. If you intend to elect Active status, you need to know about your responsibilities to complete CPE.** The [New CPA Quick Guide](#) specifically outlines when you need to begin earning and submit your CPE. The CPE Quick Guide provides information regarding your annual CPE requirements. If you have any CPE questions, please contact [Nicole Robinson](#).
- 7. You need to ask questions and communicate with the Board staff.** When in doubt, ask. All Board staff emails and direct lines are listed on the [Contact](#) page of our website for your convenience.



Quick Guides

Visit the Board website and click on the “Quick Guides” tab at the top to find fast and helpful information to some of the most commonly asked questions.



[Top Violations](#)



[Firm Ownership](#)



[CPE](#)



[Exam/Certification/Licensing Eligibility](#)



[Inactive CPA Memo](#)



[Retired CPA Memo](#)



[CPE Bulk Upload Tips](#)



[New CPA](#)



[CPA Evolution Transition Policy](#)

NEW CERTIFICATES

24-May-22	14565	Kolby Lee Benford
24-May-22	14566	Benson Jeffrey Bryant
24-May-22	14567	Jennifer Hope Cone
24-May-22	14568	Joseph Luke Curtis
24-May-22	14569	Charna Cymone
24-May-22	14570	Austin David Eck
24-May-22	14571	Sydney Nicole Engels
24-May-22	14572	Collins Ann Mills
24-May-22	14573	Jeffrey Mark Travis Jr.
24-May-22	14574	Molly Grace Womack
24-May-22	14575	Jillian Michelle Facella
24-May-22	14576-R	Robert James Allan
24-May-22	14577-R	Davis Henry Bowyer
24-May-22	14578-R	Cathy Joann Clarke
24-May-22	14579-R	Rebecca Lynn Crain
24-May-22	14580-R	Marcos Neftali Franco
24-May-22	14581-R	Colin Daniel Harper
24-May-22	14582-R	Stephen Andrew Jernigan
24-May-22	14583-R	Brett Osman Murray
24-May-22	14584-R	Jacob Flynt Schuhmann
9-Jun-22	14585	Mary Frances Brown
9-Jun-22	14586	Leslie Beech Chambers
9-Jun-22	14587	Kelsey Lauren Ching
9-Jun-22	14588	Paxton Grace DeSimone
9-Jun-22	14589	Tyler Lanier Garner
9-Jun-22	14590	Jillian Rae Gasser
9-Jun-22	14591	Bridget Dione Luckey
9-Jun-22	14592	Sara Elizabeth McDaniel
9-Jun-22	14593	Katherine Ann Miller
9-Jun-22	14594	Jackson Thomas Pope
9-Jun-22	14595	Cooper Kenneth Reynolds
9-Jun-22	14596	Shenandoah Rhodes Terry
9-Jun-22	14597	Montgomery-Lee Todd
9-Jun-22	14598	Hannah Nichole Williams
9-Jun-22	14599-R	Michael Alton Thomas
7-Jul-22	14600	Judson Hilliard Beedy
7-Jul-22	14601	Mary Grace Braswell
7-Jul-22	14602	Margaret Anna Clark
7-Jul-22	14603	Kristen Elizabeth Glass
7-Jul-22	14604	Ethan Alexander Guynes
7-Jul-22	14605	Donna Faye Harrison
7-Jul-22	14606	Dawson Forbus Horton

CONTINUED ON PAGE 7



Upcoming Board Meetings

- September 26, 2022 – Auburn University
- November 15, 2022 – University of Alabama*
- January 20, 2023 – UAB*
- May 19, 2023 – Board office*
- July 14, 2023 – Board office*
- September 19, 2023 – University of South Alabama*

*dates subject to Board approval at the September 26, 2022 Board meeting

DO YOU FOLLOW US ON SOCIAL MEDIA?

TWEET US, LIKE US, SHARE US, JOIN US

The ASBPA is now on Facebook and Twitter! Like and Follow us for the most up to date Board news and reminders. Share with your friends and help get the word out.



Facebook: @ASBPA1919
Twitter: @ASBPA1919

Don't forget to bookmark the Board's website:
www.asbpa.alabama.gov



NEW CERTIFICATES - CONTINUED

7-Jul-22	14607	Sean Paul Ivy
7-Jul-22	14608	Morris Malone Johnson III
7-Jul-22	14609	Connor Elizabeth Linton
7-Jul-22	14610	Holly Elizabeth McKeown
7-Jul-22	14611	Brittany Lynn Nunez
7-Jul-22	14612	Caroline Elizabeth Ozgun
7-Jul-22	14613	Jenifer Ken Phung
7-Jul-22	14614	Margaret Taylor Ricks
7-Jul-22	14615	Hadyn Pignato Smith
7-Jul-22	14616	Mary Grace Sprayberry
7-Jul-22	14617	Caroline Elizabeth Willoughby
7-Jul-22	14618	Taylor Fowler Wood
7-Jul-22	14619	Tessie Dorae Yates
7-Jul-22	14620	Christine Baclig Alvarez
7-Jul-22	14621	Abigail Kay Choate
7-Jul-22	14622	Robert Tucker Davis
7-Jul-22	14623-R	Kevin Ray Baker
7-Jul-22	14624-R	Dara Lynn Barker
7-Jul-22	14625-R	Ian Lewis Bumpas
7-Jul-22	14626-R	Leslie Joyce Craft
7-Jul-22	14627-R	Hak-Joon Jeong
7-Jul-22	14628-R	Tyne Reyburn

HELPFUL LINKS



[Exam Application](#)



[Registration](#)



[Administrative Fine Payment \(*NEW\)](#)





INFORMATION FOR INITIAL EXAM CANDIDATES

Candidates who wish to test through Alabama need to submit the following to Alise Ellis, Exam Coordinator.

- Completed application (either paper OR online application)
- An official transcript from each college or university (emailed or mailed directly to Ms. Ellis)
- Payment for sections you wish to complete for each application
- For foreign candidates, Alabama accepts college evaluation services through NASBA only



For the calendar year 2022, the application fee has been waived for Alabama candidates. Once approved to test, you will need to take the following items to the examination site:

- Notice to Schedule - Make sure to print this out in advance.
- Two forms of identification, one of which must be a government issued photo ID with your signature, such as a driver's license, passport or military ID. The second ID may include another government issued photo ID or a valid, unexpired credit card, bank ATM card or debit card. The names on both forms of ID must match the name entered on the application form.
- Unacceptable IDs include draft classification card, Social Security card, or U.S. permanent residency (green) card.



Questions?

- For questions about the examination process, please contact Alise Ellis.
- For technical questions regarding your NTS or other NASBA items, please contact the National Candidate Database helpline at 1-800-297-6096.
- For questions regarding scheduling test sections, please contact Prometric at 1-800-580-9648.



STATE BOARD OF ACCOUNTANCY MEMBERS



Connie Sheppard-Harris, CPA
Chair
Birmingham



Wilhelmus "Wim" J. Schaffers, CPA
Vice Chair
Birmingham



Delbert Madison
Public Member, Secretary
Montgomery



J. Earl Blackmon, CPA
Fairhope



Michael J. Kintz, CPA
Mobile



Rita Prince, CPA
Florence



Dr. Steve Grice, CPA
Troy

STATE BOARD OF ACCOUNTANCY STAFF



D. Boyd Busby, CPA
Executive Director
(334) 242-5709
boyd.busby@asbpa.alabama.gov



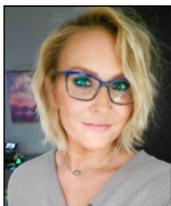
Amy G. Thompson
Chief of Staff
(334) 242-5707
amy.thompson@asbpa.alabama.gov



Nicole T. Robinson
CPE Administrator
(334) 242-5712
nicole.robinson@asbpa.alabama.gov



Teresa R. Taylor
Enforcement Coordinator
(334) 242-5703
teresa.taylor@asbpa.alabama.gov



April Bird
Systems Administrator
(334) 242-5704
april.bird@asbpa.alabama.gov



Alise Ellis
CPA Exam Coordinator
alise.ellis@asbpa.alabama.gov



Chequita Ross
Executive Assistant
chequita.ross@asbpa.alabama.gov



Lorena Shelby
Special Projects

