

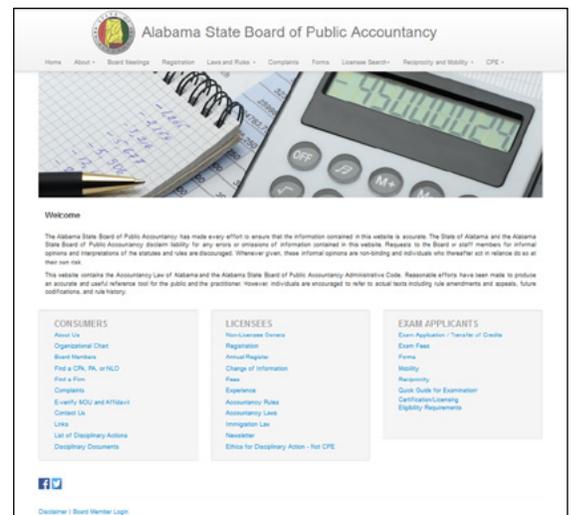


Alabama State Board of Public Accountancy

THE ASBPA WEBSITE A WEALTH OF INFORMATION

The Alabama State Board of Public Accountancy website is such a great source of information for licensees, exam candidates and the public consumer. April Bird, the Board IT Administrator, works hard to make sure you can find the information that you need on our website. To highlight, here is a list of 10 things you can find on our website.

1. [Laws & Rules](#) – The Laws and Rules associated with CPAs, PAs, NLOs (Non-Licensee Owners), and Firms are all listed on our website. We have also added a new section where you can see any proposed Rule changes that the Board is considering. Licensees are responsible for abiding by these Laws and Rules, so make sure you take the time to review them.
2. [Board Meetings](#) – The ASBPA Board meets a minimum of 4 times every year. On our website, you can find a listing of meeting times and locations, as well as Board meeting agendas and minutes.
3. [Registration](#) – The Board website has an entire page devoted to registration. The first section of this page provides the link for Online Registrations, where you can log into your online account. This is also where you can return to print prior permits for any submissions that were processed through the online portal. The second section of this page includes all the Paper Registration Forms in a convenient fillable PDF format.



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REGISTRATION

2020-2021 Registration began October 1, 2020 for all CPAs, PAs, NLOs and Firms. Registrations were due no later than December 31, 2020 and are now subject to a late renewal penalty. If you did not submit your individual and/or firm registration, please make every effort to resolve your delinquent status as soon as possible.

Any Registration submitted between January 1, 2021 and February 28, 2021 is subject to a \$100 late renewal penalty. Any Registration submitted after March 1, 2021 is subject to a \$500 late renewal penalty. After March 31, 2021 disciplinary action will begin for all licenses in delinquent status.

THE ASBPA WEBSITE (CONTINUED)

4. Licensee Search – Looking for a [CPA/PA](#) or [Firm](#)? On these pages, you can verify the status of your individual and firm licenses or find contact information for others licensed in Alabama.
5. [Forms](#) – Looking for a form? The Website has one page that consolidates each of the forms the Board uses.
6. [Contact Us](#) - Need to reach someone at the Board office? The Board Contact page provides direct contact information for everyone at the Board office and even provides guidance for who might best answer your content specific questions.
7. [CPE Quick Guide](#) – This is a quick and easy resource to find the basic CPE requirements for licensees.
8. [Exam/Licensing Quick Guide](#) – The Board also has a quick and easy resource for understanding the requirements to sit for the CPA exam and the requirements for licensure in Alabama.
9. [Newsletters](#) – Each of the Board issued Newsletters has been archived on our website. If you remember reading a helpful article but can't find it in your email, you can find it on our website.
10. [Board Calendar](#) – Key dates for meetings and other Board business can be quickly accessed on the Board website as well.

Update Your Information

The following information must be reported to the Board within 30 days of a change. Not reporting such information has led to missed communication and even revocation of licensees. It is the licensee's responsibility to notify the Board of:

1. Change of address
2. Change of employer
3. Change of Resident Manager
4. Firm Opening or Closing

Please submit the appropriate online forms provided on the [Change of Information](#) page of our website.



EXCITING NEWS FOR CPA EXAM CANDIDATES

At its January 22, 2021 meeting, the Board voted to waive the application fees for all exam applications, transfer of grade applications and reciprocal certificate applications. This waiver will go into effect on February 16, 2021 and run through December 31, 2021. Please note that moving forward the Board will consider and evaluate this waiver on an annual basis.

Alabama State Board of Public Accountancy

TOP 5 VIOLATIONS AS NOTED BY THE BOARD

1

Practicing public accountancy with a license status of inactive or retired.

The practice of public accountancy includes the completion of audits, review and compilations and includes but is not limited to, kinds of services involving the use of accounting or auditing skills, including issuance of reports on financial statements or of one or more kinds of management advisory, financial advisory or consulting services, or the preparation of tax returns or the furnishing of advice on tax matters.

2

Licensees practicing public accountancy without a firm permit.

A licensee, if practicing public accountancy, must practice under a firm with a firm permit even if the licensee operates as a sole practitioner.

3

Licensees completing a financial statement based on requirements of the Alabama State Licensing Board for General Contractors (ASLBGC) and not enrolling in the Peer Review program.

The ASLBGC only accepts the forms completed from an Audit, Review or Compilation. These reports are all subject to Peer Review..

4

CPAs that are located in Alabama practicing public accountancy or holding out as a CPA without obtaining a reciprocal Alabama certificate within 24 months of arriving in Alabama.

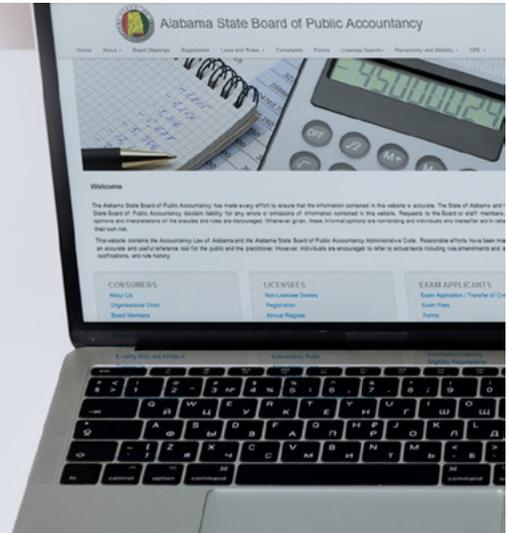
5

Inability to document reported CPE hours in a CPE audit.

PEER REVIEW EXTENSIONS

Although your firm may have been granted a peer review extension through PRIMA you MUST also request a peer review extension from the Alabama State Board of Public Accountancy. Please mail or email your peer review extension request, including the extension approval from the peer review administrating entity, to:

Anna Baker
anna.baker@asbpa.alabama.gov
PO Box 300375
Montgomery AL 36130-0375
334-242-5706



DISCIPLINARY ACTIONS

For a complete listing of disciplinary actions from 2002 to the present, please visit the [Board's website](#).

The facts of each case vary greatly and the Board's ruling is based on the merit of each case.

January 2021

Respondent: Thomas Wayne Tankesley Jr., CPA
CPA License: 8962-R
Violation: failure to fully comply with CPE attendance and/or reporting requirements for multiple years under audit
Action: Censure and an Administrative Fine of \$1,800



SOCIAL MEDIA

The ASBPA is on Facebook and Twitter! Like and Follow us for the most up to date Board news and reminders. Share with your friends and help get the word out.



BOARD MEETINGS DATES

All meetings will be held in Montgomery, Alabama at the Board office unless noted otherwise on the Board's website.

- May 14, 2021
- July 16, 2021
- September 17, 2021

STATE BOARD OF ACCOUNTANCY MEMBERS



Dr. Steve Grice, CPA
Chair
Troy



Connie Sheppard-Harris, CPA
Vice Chair
Birmingham



Delbert Madison
Public Member, Secretary
Montgomery



J. Earl Blackmon, CPA
Fairhope



Michael J. Kintz, CPA
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Rita Prince, CPA
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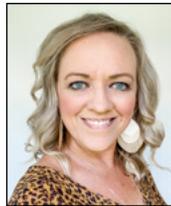


Wilhelmus "Wim" J. Schaffers, CPA
Birmingham

STATE BOARD OF ACCOUNTANCY STAFF



D. Boyd Busby, CPA
Executive Director
(334) 242-5709
boyd.busby@asbpa.alabama.gov



Amy G. Thompson
Senior Accountant
(334) 242-5707
amy.thompson@asbpa.alabama.gov



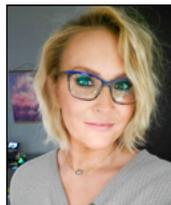
Anna M. Baker
CPA Exam Coordinator
(334) 242-5706
anna.baker@asbpa.alabama.gov



Nicole T. Robinson
CPE Administrator
(334) 242-5712
nicole.robinson@asbpa.alabama.gov



Teresa R. Taylor
Enforcement Coordinator
(334) 242-5703
teresa.taylor@asbpa.alabama.gov



April Bird
Systems Administrator
(334) 242-5704
april.bird@asbpa.alabama.gov



Alise Ellis
Executive Assistant
alise.ellis@asbpa.alabama.gov



Wesley Reid
Special Projects

