

LIST OF DISCIPLINARY ACTIONS  
as of September 19, 2019

NAMED CPA	CERT #	CASE NO	DATE BOARD HEARING SET	ACTION TAKEN	FINE PER COUNT	FINE TOTAL	SHORT DESCRIPTION OF COMPLAINT
LARRY F. BOOKER, PA	PA 979	02R-67	01/18/02	REVOKED	1,000	\$ 1,000	failure to complete the requirements of the peer review program
THOMAS G. PERKINS, CPA	1877	02R-62	03/22/02	REVOKED	1,000	\$ 1,000	failure to complete the requirements of the peer review program
RUSSELL R. RHODES, CPA	1880	2000-6	03/22/02	REVOKED	1,000	\$ 1,000	forged signature on 5 checks totaling \$325,981.05 to gain unauthorized funds
FRED R. JOHNSON, CPA	2983	02-2	03/22/02	REVOKED	500	\$ 500	He agreed to a Consent Order. He used blank checks from a client for his personal use that were to be used to pay quarterly tax payments.
KEVIN D. PARRISH, CPA	7118-R	01-8	03/22/02	REVOKED	1,000	\$ 1,000	He pled guilty of felony offense Theft of Property in 2nd degree, Class C Felony (violation of Section 13A-8-4 of Code of Alabama).
TONY EVERETT BROWN, CPA	7340	02-9	09/20/02	SUSPENDED	250	\$ 250	He agreed to a Consent Order. He resigned from CDPA on February 16, 2002. However on February 19, 2002 he transferred files containing statements, records, schedules, working papers or memoranda to CDPA clients without CDPA shareholder permission. He also charged a client a fee for accounting services he performed while practicing outside the employment of CDPA.
HOWELL D. VAUGHAN, CPA	1747-R	02-3	09/20/02	SUSPENDED	500	\$ 500	He is also a Tennessee CPA. Tennessee filed a complaint against Mr. Vaughan. Alabama found him in violation of the Rules of Professional Conduct.
HARVEY L. SMITH, CPA	2029-R	03R-74	01/16/03	REVOKED	1,000	\$ 1,000	failure to complete the requirements of the peer review program
EDWARD F. STOCKTON, CPA	5353-R	02-4	05/06/03	REVOKED	500	\$ 500	Agreed to a Consent Agreement. He allegedly rendered an independent auditor's report reflecting an unqualified opinion of the financial condition of the Opelika Housing Authority (OHA) for FYE 6-30-2000.
TIM MCKENZIE, CPA	8073	04R-42	01/21/04	REVOKED	1,000	\$ 1,000	failure to apply for an annual permit to practice
KENNETH K. LIVESAY, CPA	3309	03-7	09/24/04	REVOKED	1,000	\$ 1,000	Pled guilty of the felony offenses of Conspiracy to Commit Fraud and Securities Fraud, False Books and Records and Forfeiture

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DONNA M. BRUCE, CPA	3774	04-5	01/21/05	REVOKED	1,000	\$ 1,000	On November 12, 2004, the US District Court, Northern District of Alabama adjudged Ms. Bruce guilty of the felony offenses of Mail Fraud, Money Laundering, and Attempt to Commit Tax Evasion
ROBERT JAY CREWS, CPA	3511-R	05R-76	03/25/05	REVOKED	250	\$ 250	failure to complete the requirements of the peer review program
STEVEN H. BARRY, CPA	1948	05-5	09/16/05	SUSPENDED	2,000	\$ 2,000	He agreed to a Consent Order by the Board. There was also an order by the SEC against Mr. Barry. There was also a settlement reached between the two. The Commission ordered that Barry be denied privilege of appearing or practicing before the Commission as an accountant for two years
KAREN T. BAKER, CPA	4902	05-6	09/16/05	SUSPENDED	1,000	\$ 1,000	She agreed to a Consent Order by the Board. There was also an order by the SEC against Ms. Baker. There was also a settlement reached between the two. The Commission order that Ms. Baker be denied privilege of appearing or practicing before the Commission as an accountant for one year.
TIMOTHY D. WHITE, CPA	5019	03-13	11/08/05	SUSPENDED	250	\$ 250	Mr. White agreed to a Consent Order. Clients gathered all tax documents and turned them over to Mr. White. However, Mr. White failed to complete tax returns in accordance with his engagement.
DAVID H. GRAYDON, CPA	2876	06R-63	01/20/06	REVOKED	1,000	\$ 1,000	failure to complete the requirements of the peer review program
WESTON L SMITH, CPA	3135	03-5	03/24/06	REVOKED	1,000	\$ 2,000	Mr. Smith agreed to a Consent Order. On September 22, 2005, the US District Court, Northern District of Alabama, adjudged Mr. Smith guilty of felony offenses of Conspiracy to Commit Wire Fraud and Securities Fraud, False Certification of Financial Information filed with the SEC, Filing False Reports with the SEC, and Forfeiture
WILLIAM T. OWENS, CPA	2927	03-4	05/08/06	REVOKED	1,000	\$ 3,000	On February 27, 2006, the US District Court, Northern District of Alabama, adjudged Mr. Owens guilty of felony offenses of Conspiracy to Commit Wire Fraud and Securities Fraud, False Certification of Financial Information filed with the SEC, Wire Fraud, and Forfeiture

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DENNIS D. HAMLET, CPA	3363	03-12	05/08/06	SUSPENDED	250	\$ 250	Mr. Hamlet agreed to a Consent Order. Mr. Hamlet failed to calculate capital gains income correctly on both Alabama and Federal tax returns which violated the Rules of Professional Conduct.
MARSHALL B. COWART, PA	PA 514	07R-90	01/26/07	REVOKED	1,000	\$ 1,000	failed to satisfy the requirements of CPE for FYE 9-30-2005
BOBBY W. FULLER, CPA	3567	07R-86	03/23/07	REVOKED	1,000	\$ 1,000	failure to complete the requirements of the peer review program
NORMAN RONALD WEBB, CPA	8148-R	07-7	05/07/07	SUSPENDED	100	\$ 100	Mr. Webb agreed to an Informal Settlement agreement. Not sure what the charges were.
ROBERT H. SNOW, CPA	1884	08R-68	01/25/08	REVOKED	1,000	\$ 1,000	failed to satisfy the requirements of CPE for FYE 9-30-2006
PHILLIP CURTIS GRACE, CPA	6138	07-12	01/25/08	REVOKED	1,000	\$ 2,000	On May 23, 2007, the US District Court, Northern District of Alabama, adjudged Mr. Grace guilty of felony offense of Obstruction of Criminal Investigation and the misdemeanor offense of Obstruction of Criminal Investigation
TIM MCKENZIE, CPA	8073	08R-66	01/25/08	REVOKED	1,000	\$ 1,000	failure to complete the requirements of the peer review program
PHILLIP G. JONES, PA	PA 99	07-11	01/25/08	SUSPENDED	2,000	\$ 2,000	Mr. Jones agreed to a Consent Order. Mr. Jones was suspended by the IRS and commenced on March 7, 2007 for an indefinite period of time. Therefore, the Board has grounds for disciplinary action against Mr. Jones.
DONALD WAYNE BOWLIN, CPA	1632	07-1	09/19/08	REVOKED	500	\$ 500	Mr. Bowlin agreed to a Consent Order. On April 8, 2008, the US District Court, Northern District of Alabama, adjudge Mr. Bowlin guilty of the felony offense of Conspiracy to Bank Fraud
KAREN HICKS AIKIN, CPA	4920	08-4	11/10/08	REVOKED	1,000	\$ 4,000	On July 25, 2008, the US District Court, Southern District of Alabama, adjudged Ms. Aikin guilty of the felony offenses of Bank Fraud and Aggravated Identity Theft
TIMOTHY B. PARKER, CPA	6466	08-8	11/10/08	REVOKED	2,000	\$ 2,000	On August 12, 2008, the US District Court, Northern District of Alabama, adjudged Mr. Parker guilty of the felony offense of Possession of Child Pornography
LEWIS RUSSELL LOEB, CPA	3111	09R-79	01/23/09	REVOKED	1,000	\$ 1,000	failed to satisfy the requirements of CPE for FYE 9-30-2007

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JOHN M. SIRMON, CPA	1355	08-7	05/11/09	CENSURED	500	\$ 3,000	Mr. Sirmon ordered a specific client's records to be thrown away or disposed of and consequently failed to furnish the records to the client upon request constitutes a violation of Board rules.
MICHAEL JOHN HARRIS, CPA	5350	08-03	09/18/09	REVOKED	1,000	\$ 1,000	Mr. Harris agreed to a Consent Order. Mr. Harris pled guilty in the Circuit Court of Montgomery County, Alabama to the felony offense of Theft of Property in the 1st Degree.
SUSAN N. HERRING, CPA	4383	10R-93	01/22/10	REVOKED	1,000	\$ 1,000	failed to satisfy the requirements of CPE for FYE 9-30-2008
ROBERTA JEAN BUSH, CPA	7869	10R-92	01/22/10	REVOKED	1,000	\$ 3,000	failed to satisfy the requirements of CPE for FYE 9-30-2008
SCOTT A. WHISENANT, CPA	5809	10R-91	05/10/10	SUSPENDED	1,000	\$ 2,000	failure to complete the requirements of the peer review program
WANDA JOYCE SMITHSON, CPA	5346-R	10-1	05/10/10	REVOKED	1,000	\$ 2,000	She is also a Tennessee CPA. Tennessee State Board of Accountancy revoked her license to practice in Tennessee due to her failure to comply with peer review requirements and failure to comply with licensing requirements. Therefore, Alabama considers that a violation of Alabama's Laws and Rules.
MICHAEL PATRICK ELDER, CPA	6308	10-6	09/17/10	REVOKED	2,000	\$ 2,000	Mr. Elder agreed to an Informal Settlement agreement. Not sure what the violations were.
ANDREW DAVID PETROFSKY, CPA	9368	10-5	09/17/10	REVOKED	5,000	\$ 25,000	On April 19, 2010, the US District Court, Northern District of Alabama, adjudged Mr. Petrofsky guilty of felony offenses of Wire Fraud and Forged Security. He admitted in a plea agreement that he had stolen \$871,436.00 from 6 investment accounts he managed.
THOMAS J. MILLS, CPA	4488	10-16	11/08/10	REVOKED	5,000	\$ 20,000	On August 12, 2010, the US District Court, Middle District of Alabama, adjudged Mr. Mills guilty of two felony counts of Bank Fraud. He admitted in a plea agreement that he had written a \$150,000 check on one of his employer's checking accountins knowing it was worthless yet deposited the check in another checking account of his employer at a different bank where funds were spent resulting in an overdraft of \$149,699.23.

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JOHN HAMILTON MCLAIN, V., CPA	7824	10-4	11/08/10	REVOKED	5,000	\$ 10,000	On February 11, 2009, the Circuit Court of Madison County, Alabama, adjudged Mr. McLain guilty of two felony count of Enticing a Child
DAVID A. BOWERS, CPA	3318	11-3	01/21/11	REVOKED	5,000	\$ 15,000	On May 6, 2010, the Circuit Court of Morgan County, Alabama, Mr. Bowers pled guilty of the felony offense of Theft of Property in the 1st Degree.
BOBBY W. FULLER, CPA	3567	11R-22	01/21/11	REVOKED	1,000	\$ 1,000	failure to apply for an annual permit to practice
TRACIE P. LAWRENCE, CPA	6149	10-20	01/21/11	CENSURED	500	\$ 1,500	Ms. Lawrence failed to return several clients tax records after the clients attempted multiple times to contact her.
BOB NATHANIEL AUSTIN, CPA	8163	11R-2	01/21/11	REVOKED	1,000	\$ 1,000	failure to apply for an annual permit to practice
JAMES ERIC HALLMARK, CPA	4839	12R-93	01/30/12	REVOKED	2,000	\$ 2,000	failure to complete the requirements of the peer review program
JASON ERIK SCHMITT, CPA	10678	12R-92	01/30/12	REVOKED	2,000	\$ 2,000	failure to complete the requirements of the peer review program
*Actual File Missing* DONALD L DIONNE, CPA	5273	12-3	03/23/12	REVOKED	2,500	\$ 10,000	On November 17, 2011, the US District Court, Northern District of Alabama, Mr. Dionne pled guilty for the felony offense of Bankruptcy Fraud.
TRACIE P. LAWRENCE, CPA	6149	12-6	09/21/12	SUSPENDED	2,500	\$ 5,000	Ms. Lawrence was paid to prepare federal tax returns but the IRS stated that they had no record of ever receiving the tax return.
DONNIE HOLDER, CPA	1486	13R-95	01/28/13	REVOKED	2,000	\$ 4,000	failure to complete the requirements of the peer review program
HARVEY F. CUTTER, CPA	1975	13R-97	01/28/13	REVOKED	5,000	\$ 10,000	failure to complete the requirements of the peer review program
DANE W. CUTLER, CPA	2036	13R-18	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
THOMAS STEWART PARSONS, CPA	2195	13R-60	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MICHAEL V. HINSON, CPA	2969	13R-41	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
WILLIAM E. COX, CPA	3088	13R-16	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
NICHOLAS STINSON, CPA	3102	13R-74	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
CHRISTOPHER L MILLER, CPA	3279	13R-99	01/28/13	REVOKED	2,000	\$ 4,000	failure to complete the requirements of the peer review program
DAVID A. HARRIS, CPA	3742	13R-37	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
DEWEY N. SANDERS, CPA	3781	13R-67	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
PAMELA DAVIS TILLEY, CPA	4342	13R-79	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice

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R. DAWN BURKS, CPA	4667	13R-12	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
WILLIAM PERRY HALL, CPA	4726	13R-34	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
PAMELA F. HENDERSON, CPA	4953	13R-39	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
LAWRENCE SCOTT BANKS, CPA	4995	13R-4	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
CYNTHIA M. KING, CPA	5068	13R-48	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
NATALIE LYNN MICKLER, CPA	6010	13R-55	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
LORI ANN MUSE, CPA	6144	13R-57	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
TRACIE P. LAWRENCE, CPA	6149	12-9	01/28/13	REVOKED	1,000	\$ 3,000	Ms. Lawrence agreed to prepare tax returns for a client. Because of the imminent tax deadline (4-17-12), it was agreed that an extension should be filed. Shortly after the deadline passed (4-23-17), the client attempted to contact Ms. Lawrence numerous times so she would either complete the tax filings or return the documents provided. Since no direct contact was made with Ms. Lawrence, on 7-5-12 the client filed a small claim with their city circuit court to get his records back. On 9-11-12, a complaint with the Board was filed by the client, within days (11-15-12), the client received his records from Ms. Lawrence.
KELLON KNIGHT ELLISON, CPA	6217	13R-26	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KELLON KNIGHT ELLISON, CPA	6217	13R-86	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual firm permit
ROBERT DAVID BONAMY, JR., CPA	6949	13R-9	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KERRY MCKENNLEY WILLIAMS, CPA	7279	13R-82	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
DOLORES G. PRATER BARNES, CPA	7506	13R-6	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KATHERINE IRENE BLACKMON, CPA	8168	13R-86	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KRISTY DEL SIGNORE PERALTA, CPA	8499	13R-63	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KRISTIN EILEEN PARSONS, CPA	8583	13R-59	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ANDREA ALMAN MCKENZIE, CPA	8709	13R-53	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JAMES MICHAEL CHING, CPA	8726	13R-14	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
WENYAN ZHOU, CPA	8822	13R-84	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
EDWARD CRAIG CRAWFORD, CPA	9326	13R-17	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
FRANK VINCENT BROCATO, III, CPA	9573	13R-11	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ADAM JOHN RYAN, CPA	10168	13R-65	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice

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BARUCH TAL, CPA	10697	13R-75	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JEFF MERRILL, CPA	11266	13R-54	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
RADHIKA ARVIND PATEL, CPA	11443	13R-62	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JANETTE DIANNE SMILEY, CPA	10836-R	13R-72	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
CHUKWUMA A. OKEKE-EKPE, CPA	3130-R	13R-58	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MARCUS A. DAVIS, CPA	3369-R	13R-20	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
STACEY MICHELE SHINAS, CPA	7103-R	13R-70	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JOHN EDWIN BURNS, CPA	8429-R	13R-13	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JEREMY T. TRIEFENBACH, CPA	9959-R	13R-80	01/28/13	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JON HARVEY DEAL, CPA	3002	13-2	03/22/13	REVOKED	1,000	\$ 4,000	Mr. Deal agreed to a Consent Order. On August 29, 2012, in the US District Court, Middle District of Alabama, Mr. Deal pled guilty to Manipulative and Deceptive Devices (Securities Fraud).
S. JAMES SLAY, JR., CPA	1097	14R-45	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
S. JAMES SLAY, JR., CPA	1097	14R-61	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual firm permit
JAY R. SCHWARZ, CPA	3530	14R-43	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
DAVID EARLE RILEY, CPA	3726	14R-39	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JAMES M. HIVNER, CPA	4822	14R-21	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
PATRICK B. TRAMMELL, CPA	5014	14R-55	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
DAVID R. ROGERS, CPA	5265	14R-40	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
TIMOTHY SCOTT BELL, CPA	5277	14R-2	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
R. SCOTT SHIRAH, CPA	5744	14R-44	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ALAN L. STANTON, CPA	6310	14R-48	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
RENARD HILL, CPA	6723	14R-20	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MICHAEL SHAWN GLENN, CPA	7823	14R-15	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
DAVID JOHN FUERST, CPA	8506	14R-13	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
STEPHEN JAY IRONS, CPA	8891	14R-24	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
AMY BOTTOMS SCHUTZ, CPA	9341	14R-42	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KELLI MCARN DUMAS, CPA	10081	14R-11	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
CAROLYN HELEN ADAMS-ROSSIGNOL, CPA	11345	14R-72	01/31/14	REVOKED	2,000	\$ 2,000	failed to satisfy the requirements of CPE for FYE 9-30-2012
BLAIRE ROSS GRESHAM, CPA	11437	14R-17	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
BRANDON STEPHEN HILL, CPA	10325-R	14R-19	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice

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BRIAN WILLIAM SMITH, CPA	10546-R	14R-46	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JOSEPH DALE JENNINGS, CPA	11282-R	14R-28	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
CAROL LOUISE STRICKLAND, CPA	11343-R	14R-51	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KENNETH TROY THACKER, CPA	7659-R	14R-53	01/31/14	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KELLON KNIGHT ELLISON, CPA	6217	14-3	09/19/14	REVOKED	500	\$ 500	Ms. Ellison agreed to a Consent Order. Ms. Ellison failed to file withholding and income federal and state tax returns and failed to return the records she received when asked by the client. She was suffering from both physical and mental health problems prior to and during the time Ms. Ellison was involved with the engagement.
JOHN E. INGRAM, CPA	790	15R-63	01/23/15	REVOKED	2,000	\$ 2,000	failure to complete the requirements of the peer review program
FRAN C. HOOPER, CPA	2624	15R-21	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ROBERT BRYAN THOMPSON, CPA	4000	15R-43	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
R. BRYAN THOMPSON, CPA	4000	15R-58	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual firm permit
ELIZABETH G. ROBERTS, CPA	4083	15R-37	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JAMES WILLIAM KING, CPA	7014	15R-24	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JODI ELAN TAYLOR, CPA	7435	15R-42	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
BECKY ANN TOLOMEO, CPA	8662	15R-44	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KATHRYN ANN FALLON, CPA	8965	15R-15	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ELWOOD LADON WEST, JR., CPA	9389	15R-50	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ABNER BENJAMIN YOUNG, CPA	11879	15R-59	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual firm permit
H. A. BEASLEY, JR., CPA	7848-R	15R-4	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KATHRYN MARGARET WATTERSON, CPA	8588-R	15R-48	01/23/15	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JAMES H. CORBIN, CPA	783	15R-64	05/08/15	REVOKED	2,000	\$ 2,000	failure to complete the requirements of the peer review program
ROBERT A. BROGDON, CPA	1716	15R-69	05/08/15	REVOKED	2,000	\$ 2,000	failure to complete the requirements of the peer review program
IRA H. PATRICK, CPA	2252	15R-61	05/08/15	REVOKED	2,000	\$ 2,000	failure to complete the requirements of the peer review program
PEGGY R. WALL, CPA	6264	15R-67	05/08/15	REVOKED	2,000	\$ 2,000	failure to complete the requirements of the peer review program



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NAMED CPA	CERT #	CASE NO	DATE BOARD HEARING SET	ACTION TAKEN	FINE PER COUNT	FINE TOTAL	SHORT DESCRIPTION OF COMPLAINT
GREGORY G. WILLIAMS, CPA	3531	15PR-15	09/18/15	REVOKED	2,000	\$ 2,000	Prepared limited scope Employee Benefit Plan (EBP) audits. However, Mr. Williams failed to complete the requirements for the Peer Review Program.
ROBERT ASHLEY WEBB, CPA	3181	15-3	11/06/15	REVOKED	2,000	\$ 2,000	Mr. Webb completed an audit report that reflected lack of due professional care.
FRANK J. COSTANZO, CPA	234	16D-14	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
WILLIAM BLANKENSHIP, CPA	425	16CPE-1	01/22/16	REVOKED	2,000	\$ 2,000	failed to satisfy the requirements of CPE for FYE 9-30-2014
THOMAS L. HINSON, CPA	1254	16PR-4	01/22/16	REVOKED	2,000	\$ 2,000	failure to complete the requirements of the peer review program
DEBORAH H. WHITMORE, CPA	1609	16D-82	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
F. MITCH MCNAB, CPA	3946	16D-48	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JEFFREY GLENN LEE, CPA	4281	16D-45	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MARIA S. WHITE, CPA	4587	16D-81	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JAMES R. WILLIAMS, CPA	5020	16D-84	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
KELLON KNIGHT ELLISON, CPA	6217	16D-26	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
BRIAN RICHARD MIDKIFF, CPA	6927	16D-51	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ROBERT DAVIS JR., CPA	6971	16CPE-2	01/22/16	REVOKED	2,000	\$ 2,000	failed to satisfy the requirements of CPE for FYE 9-30-2014
F. WESLEY MIDDLETON, CPA	7038	16D-49	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MICHAEL VERNON MULLEN, CPA	7589	16-D55	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MANDY TUCKER MILLER, CPA	8769	16D-52	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MATTHEW CHAD CRIMALDI, CPA	9021	16D-16	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
VAUGHN ERIC DAYTON, CPA	9912	16D-19	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
BRADLEY TODD DAVIS, CPA	10421	16D-17	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JOHN TAYLOR SUNDAY, CPA	12021	16D-73	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JENNIFER MARIE YOUNGBERG, CPA	12122	16D-86	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
PATRICK M. HALFHILL, CPA	10263-R	16D-32	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
WARREN PHILLIP LADNER, CPA	11932-R	16D-40	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ALAINA NICOLE WATTS, CPA	12108-R	16D-80	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
FRANK A. JENKINS, CPA	1731-R	16PR-3	01/22/16	REVOKED	2,000	\$ 2,000	failure to complete the requirements of the peer review program
TIMOTHY NEIL PRICE, CPA	6117-R	16D-60	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MARK TIMOTHY COTTON, CPA	7671-R	16D-15	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice

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NAMED CPA	CERT #	CASE NO	DATE BOARD HEARING SET	ACTION TAKEN	FINE PER COUNT	FINE TOTAL	SHORT DESCRIPTION OF COMPLAINT
RHONDA I. STRIPLIN, CPA	8842-R	16D-72	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
RHONDA I. STRIPLIN, CPA	8845-R	16DF-5	01/22/16	REVOKED	2,000	\$ 2,000	failure to apply for an annual firm permit
TERRI M. MOLLIKA, CPA	5155	16-3	03/09/16	REVOKED	2,000	\$ 4,000	On October 15, 2015, the US District Court, Northern District of Alabama, adjudged Ms. Mollica guilty of felony count of Unlawful Use of Communication Facility.
JOHN WAYNE BLACK JR., CPA	9318	15-12	03/09/16	REVOKED	3,000	\$ 9,000	While in his capacity as Treasurer of the Tuscaloosa Chapter of the ASCPA, Mr. Black used chapter funds for personal expenses.
GARY GENE COLLINS, CPA	12586	15-9	03/09/16	REVOKED	500	\$ 500	After a joint criminal investigation between the US Treasury Inspector General for Tax Administration (TIGTA) and the Internal Revenue Service Criminal Investigation, Mr. Collins was sentenced in US District Court, Northern District of Alabama, to 3 years of probation, \$12,908.94 in restitution and \$200 special assessment. Mr. Collins pled guilty to Conspiracy to File False Tax Returns & Aiding and Abetting Wire Fraud.
DONALD W. CAUSEY, CPA	1586	16-8	09/16/16	CENSURED	1,000	\$ 6,000	Mr. Causey agreed to a Consent Agreement. Mr. Causey undertook to prepare for a client an audit report for year ending December 31, 2011 for submission to the US DOL. The audit report was inadequate in that it reflected a lack of professional competence, care, planning and supervision, sufficient data and compliance required of a CPA. Mr. Causey entered into a Settlement Agreement between the Professional Ethics Committee of the AICPA and the ASCPA.
MICHAEL B. WORRELL, CPA	1610	16-9	09/16/16	CENSURED	1,000	\$ 5,000	Mr. Worrell agreed to a Consent Agreement. Mr. Worrell undertook to prepare for a client an audit report for year ending December 31, 2010 for submission to the US DOL. The audit report was inadequate in that it reflected a lack of professional competence, care, planning and supervision, sufficient data and compliance required of a CPA. Mr. Worrell entered into a Settlement Agreement between the Professional Ethics Committee of the AICPA and the ASCPA.

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NAMED CPA	CERT #	CASE NO	DATE BOARD HEARING SET	ACTION TAKEN	FINE PER COUNT	FINE TOTAL	SHORT DESCRIPTION OF COMPLAINT
ROBERT Q. POOLE, CPA	3064	16-23	09/16/16	CENSURED	1,000	\$ 2,000	Mr. Poole agreed to a Consent Agreement. Mr. Poole undertook to prepare for a client an audit report for year ending December 31, 2010 for submission to the US DOL. The audit report was inadequate in that it reflected a lack of professional competence, care, planning and supervision, sufficient data and compliance required of a CPA. Mr. Poole entered into a Settlement Agreement between the Professional Ethics Committee of the AICPA and the ASCPA.
DAVID M. CHESNUT, CPA	5518	16-7	09/16/16	CENSURED	1,000	\$ 5,000	Mr. Chesnut agreed to a Consent Agreement. Mr. Chesnut undertook to prepare for a client an audit report for year ending December 31, 2011 for submission to the US DOL. The audit report was inadequate in that it reflected a lack of professional competence, care, planning and supervision, sufficient data and compliance required of a CPA. Mr. Chesnut entered into a Settlement Agreement between the Professional Ethics Committee of the AICPA and the ASCPA.
JAMES ALAN BELL, CPA	6946	16-11	09/16/16	CENSURED	1,000	\$ 5,000	Mr. Bell agreed to a Consent Agreement. Mr. Bell undertook to prepare for a client an audit report for year ending December 31, 2011 for submission to the US DOL. The audit report was inadequate in that it reflected a lack of professional competence, care, planning and supervision, sufficient data and compliance required of a CPA. Mr. Bell entered into a Settlement Agreement between the Professional Ethics Committee of the AICPA and the ASCPA.
REBECCA LEA ADAMS, CPA	8675	16-5	09/16/16	CENSURED	3,000	\$ 3,000	Ms. Adams agreed to a Consent Agreement. Ms. Adams pled no contest in the Circuit Court of the Second Judicial Circuit in and for Leon County, Florida to an offense in violation of the State of Florida Statute.

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NAMED CPA	CERT #	CASE NO	DATE BOARD HEARING SET	ACTION TAKEN	FINE PER COUNT	FINE TOTAL	SHORT DESCRIPTION OF COMPLAINT
ROBERT T. HUDSON, CPA	2139	16-6	11/16/16	CENSURED	1,000	\$ 6,000	Mr. Hudson agreed to a Consent Agreement. Mr. Hudson undertook to prepare for a client an audit report for year ending December 31, 2011 for submission to the US DOL. The audit report was inadequate in that it reflected a lack of professional competence, care, planning and supervision, sufficient data and compliance required of a CPA. Mr. Hudson entered into a Settlement Agreement between the Professional Ethics Committee of the AICPA and the ASCPA.
HAIDEN W. TURNER, CPA	2423	16-26	11/16/16	REVOKED	5,000	\$ 5,000	Mr. Turner agreed to a Consent Agreement. Mr. Turner pled guilty in the Second District Court of Dallas County, Texas to three charges in violation of the State of Texas Statute. The Texas State Board of Public Accountancy ratified and adopted and Agreed Consent Order with Mr. Turner that revoked his Texas certificate. The Alabama Board has cause for disciplinary action based on the Texas case.
ROGER D. MOORE, CPA	856	17D-34	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ROGER D. MOORE, CPA	856	17DF-2	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual firm permit
FORREST W. FROST, CPA	1457	17PR-6	01/13/17	REVOKED	5,000	\$ 4,000	failure to complete the requirements of the peer review program
H GRANT MCDONALD, CPA	1540	17D-31	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
R DWIGHT CRISSON, CPA	1695	17PR-4	01/13/17	REVOKED	5,000	\$ 2,000	failure to complete the requirements of the peer review program
WILLIAM B. STAPP, CPA	3022	17PR-2	01/13/17	REVOKED	5,000	\$ 5,000	failure to complete the requirements of the peer review program
ROGER D. SHANNON, CPA	4543	17D-47	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ULYSSES JAMES SMITH, CPA	5322	17D-52	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
SHANNON WELCH FARR, CPA	6022	17D-12	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JOHN PHILLIPS WALKER, CPA	6826	17D-57	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ROBERT E. PHELPS, CPA	7403	17D-39	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
HOLLI H. SIMON, CPA	7732	17D-48	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MELISSA PARR SAHAGUN, CPA	8089	17D-44	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
DENNIS KEITH WINKLEMAN, CPA	8297	17D-63	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice

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NAMED CPA	CERT #	CASE NO	DATE BOARD HEARING SET	ACTION TAKEN	FINE PER COUNT	FINE TOTAL	SHORT DESCRIPTION OF COMPLAINT
SHANNON GARRETT COOK, CPA	10475	17D-8	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
WENDI ORAZINE FASSINA, CPA	10558	17D-13	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
SHAKENYA SIRMANS JOHNSON, CPA	11107	17D-21	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
AUSTEN BOWEN WEATHERLY, CPA	12089	17D-60	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
GAVIN GLEN MCKINNEY, CPA	12684-R	17D-33	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MICHAEL HYGH WARD, CPA	4965-R	17D-58	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MARILYN DENT SEYMOUR, CPA	5603-R	17D-46	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JAMES ERNEST BRIDGES, CPA	7136-R	17D-5	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ELIZABETH MITCHELL PUCKETT, CPA	8687-R	17D-41	01/13/17	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
W THOMAS KING, CPA	1064	17-6	05/12/17	CENSURED		\$ 5,000	Mr. King agreed to a Consent Agreement. Mr. Hudson undertook to prepare for a client an audit report for year ending December 31, 2011 for submission to the US DOL. The audit report was inadequate in that it reflected a lack of professional competence, care, planning and supervision, sufficient data and compliance required of a CPA. Mr. Hudson entered into a Settlement Agreement between the Professional Ethics Committee of the AICPA and the ASCPA.
STEVEN T DOWNEY	3038-R	17-9	05/12/17	REVOKED		\$ 500	Securities and Exchange Commission instituted public administrative and cease-and-desist proceedings, denying the privilege of appearing before the Commission to practice.
JAMES BEN BOWEN	4460	17-15	09/18/17	REVOKED		\$ 9,000	Operated a CPA firm and held out as a CPA while in inactive status with no permit to practice.
JUSTIN HEATH MCCLESKEY	7922	17-14	09/18/17	REVOKED		\$ 2,000	Prepared a compilation that reflected a lack of due professional care, lack of sufficient relevant data obtained, lack of planning and a lack of compliance with GAAP.
MUHAMMAD IBN ABDULLAH, CPA	9769	17CPE-3	11/15/17	CENSURED	500	\$ 1,500	failure of CPE audit 2 out of 3 years
KATHERINE FRAZER BOZEMAN, CPA	5873-R	17CPE-4	11/15/17	CENSURED	500	\$ 1,500	failure of CPE audit 2 out of 3 years
JIMMY C. KUBINA, CPA	1979	17-1	11/15/17	FINE	1,000	\$ 1,000	failure to provide peer reviewer with EBP audit in sample
STEVEN R. WAKEFIELD, CPA	5126	17-19	11/15/17	REVOKED	5,000	\$ 10,000	felony - bank fraud (Federal)
KARA WREN MYERS, CPA	8426	17-18	11/15/17	REVOKED	5,000	\$ 5,000	felony - theft of proprrty first degree (State)
KENNETH E. WILDER, PA	383	17-25	01/19/18	REVOKED	2,000	\$ 6,000	misrepresenting firm engagements, not enrolling in PR, inadequate compilations

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MICHAEL V. NOYES, CPA	2148	17-20	01/19/18	REVOKED	3,000	\$ 15,000	misrepresenting firm engagements to be removed from PR and inadequate audit report
RICHARD A. HENDERSON, CPA	3539	17-24	01/19/18	REVOKED	5,000	\$ 5,000	2 felony counts, rest of \$5.1m, consent and flat fee of \$5k
JESSE S. ELLIS, CPA	3648	16-24	01/19/18	REVOKED	5,000	\$ 5,000	2 felony counts, rest of \$5.1m, consent and flat fee of \$5k
CHARLES A. BRYANT, CPA	4724	18D-11	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
SHEILA WILLIAMS HESTER, CPA	6300	18D-32	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JIMMY WAYNE DISMUKES, CPA	6524	18D-20	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
DONALD E. TURNBOW, CPA	6823	17-21	01/19/18	REVOKED	-	\$ -	not releasing client records, fine contingent upon releasing client records
REENA J. ALLEN, CPA	7401	18D-2	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
PATRICK JAY MYERS, CPA	10669	18D-56	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
MARY ELIZABETH TERRELL, CPA	11024-R	18D-78	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
ANDREW LEACH CASH, CPA	11931-R	18D-14	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
TAE SOO JUNG, CPA	12393-R	18D-38	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
EMILY PICKETT SCHROLL, CPA	12664-R	18D-71	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
JULIA PIKE PITRE, CPA	4170-R	18D-61	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
NICHOLAS LAMAR MACDONALD, CPA	6306-R	18D-50	01/19/18	REVOKED	2,000	\$ 2,000	failure to apply for an annual permit to practice
CHARLES RUSSELL KIRKPATRICK, CPA	8601-R	17CPE-5	01/19/18	REVOKED	2,000	\$ 6,000	CPE audit failure, twice in 3 years, no documentation and no attempt to correct
LEO JOSEPH BOOHAKER	4930	18-3	03/23/18	REVOKED	2,000	\$ 2,000	Activley practicing with an inactive license
ELIZABETH A. WILSON, CPA	3593	18CPE-1	09/18/18	CENSURED	1,500	\$ 1,500	failure of CPE audit 2 out of 3 years
CLAUDE BURTON HONEYCUTT, JR. CPA	6575	18CPE-4	09/18/18	CENSURED	1,500	\$ 1,500	failure of CPE audit 2 out of 3 years
ROGER ELDON WATSON, CPA	7276	18-18	09/18/18	CENSURED	1,000	\$ 1,000	Did not report EBP audit to Peer Review Team Captain
EDWYNA W. MINOR, CPA	1873	18-10	09/18/18	N/A	2,000	\$ 2,000	Practicing public accountancy while inactive
MICHELLE WEAVER HALLMARK, CPA	9195	18-12	09/18/18	REVOKED	2,000	\$ 6,000	Not completing CPE while under agreement. Agreement cleared a S&C where a hearing was set for Jan 2018
KRISTIN RETZKE MCCracken, CPA	10757-R	17-22	09/18/18	REVOKED	5,000	\$ 5,000	Arrested and charged with theft. Paid \$87,594.96 in restitution. Agreed to consent of revocation and a fine of \$5,000.
RUBY E. CADE, CPA	4252	18CPE-7	11/15/18	CENSURED		\$ 1,500	failure of CPE audit 2 out of 3 years
LISA DANIELS LARSON, CPA	5435	18CPE-2	11/15/18	CENSURED		\$ 2,000	failed initial CPE audit - fraudulent reporting
JAMES EDWARD COLLIER, CPA	3603	18CPE-5	11/15/18	N/A		\$ 2,000	failed initial CPE audit - fraudulent reporting

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TABITHA JOY ANKERSON, CPA	12228	18CPE-6	11/15/18	N/A		\$ 2,000	failed initial CPE audit - fraudulent reporting
PAUL RICHARD DICKINSON, CPA	8576-R	18CPE-3	11/15/18	N/A		\$ 2,000	failed initial CPE audit - fraudulent reporting
ADOLPHE CATLIN CADE, IV	2923	18-20	11/15/18	REVOKED		\$ 5,000	Pre-Trial diversion agreement in federal court - insider trading
STEPHEN DAVID MILLER	8386	18CPE-8	11/15/18	REVOKED		\$ 2,000	failed initial CPE audit - fraudulent reporting
SHANNON GARRETT COOK	10475	18-14	11/15/18	REVOKED		\$ 3,000	Performing attest engagements without a firm permit and not enrolled in peer review
GILBERT LLOYD CRENSHAW, CPA	8342	18-5	01/18/19	CENSURED		\$ 1,000	Consent Agreement. DOL audit issues - The audit report was inadequate in that it reflected a lack of due professional care, lack of compliance with accounting and auditing principles, and a lack of complinace with GAAP.
HARVEY F. CUTTER	1975	19PR-4	01/18/19	REVOKED		\$ 2,000	Did not complete PR.
CLAYTON ALLEN HARMON	12407	19-2	01/18/19	REVOKED		\$ 5,000	Misappropriated \$14, 674 from a not-for-profit.
JULIA ANNE VIGNEAULT	10949	19D-72	01/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
IESHA DENISE ELLERBE	12159-R	19D-18	01/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
BEN F POWEL	1947	19D-54	01/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
COLLEEN S DRESSER	4486	19D-16	01/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
TIMOTHY HEATH ANDREWS	9960	19D-1	01/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
ESTELLE C MITCHELL	N045	19D-76	01/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
PHILIP E. GABLE	2439	19PR-2	05/10/19	REVOKED		\$ 4,000	failure to complete the requirements of the peer review program
CAROL MORRISON KERSTIENS	7106	19PR-9	05/10/19	REVOKED		\$ 4,000	failure to complete the requirements of the peer review program
THOMAS L. MORRISON, CPA	1094	19PR-1	05/10/19	SUSPENDED		\$ 2,000	failure to complete the requirements of the peer review program
MACAROY UNDERWOOD, CPA	3949	18-19	07/18/19	CENSURED		\$ 4,000	Prepared an audit that reflected a lack of due professional care, lack of sufficient relevant data obtained, lack of planning and a lack of complinace with GASB standards and misrepresented the audits completed by his firm to a town council.
DANIEL O ANYANWU CPA	4925	19-7	07/18/19	CENSURED		\$ 4,000	Prepared a compilation that reflected a lack of due professional care, lack of sufficient relevant data obtained, lack of planning and a lack of compliance with GAAP.

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NAMED CPA	CERT #	CASE NO	DATE BOARD HEARING SET	ACTION TAKEN	FINE PER COUNT	FINE TOTAL	SHORT DESCRIPTION OF COMPLAINT
RANDELL G. NICHOLS	3763	18-22/18-24	07/18/19	REVOKED		\$ 5,000	Prepared 35 compilations that reflected a lack of due professional care, lack of sufficient relevant data obtained, lack of planning and a lack of compliance with GAAP, and was not enrolled in the Peer review program.
JOSEPH WHEELER	10251	19DF-18	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
STEWART T WILSON	10260-R	19D-149	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
MICHAEL VAL HIETTER	11096-R	19D-102	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
JOSEPH PATRICK MARTIN	11409-R	19D-115	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
CHRISTOPHER JOHN GAUNT	11905-R	19D-98	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
BRIAN GARRETT SHORT	12296	19D-128	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
KEVIN OSCAR TOTH	12525-R	19D-141	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
JONATHAN PAUL SPEEGLE	12820	19D-134	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
RACHEL ANN WAGNER	12966	19D-143	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
JERROD CASEY RODDEN	13005-R	19D-127	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
CAROLINE D STROBEL	2446	19D-135	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
WILLIAM B GIBSON	3463	19D-99	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
WILLIAM B GIBSON	3463	19DF-21	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
LINDA J WANDER	3522-R	19D-145	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
ERIC E LARSON	4861	19D-111	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
TRENT COLLIN MAREK	6859	19D-114	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
MARCUS BARTHOLOMEW HERRING	6889	19D-101	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
CANDACE MCMILLIN CLEMENTS	7234	19D-82	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
VIDMER GREER	7508	19D-100	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
VIDMER GREER	7508	19DF-11	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
KENNETH IRVIN TOBIAS JR	7780	19D-140	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
ANESSA BROWN WRIGHT	7983	19D-150	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
JAMES RUSSELL PERSONS II	7996-R	19D-119	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
WENDOLYN DAVETTE PRINE	8405	19D-121	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
JEFFREY MCKAY FIELDS	9030	19D-94	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
YING LEUNG	9526	19D-113	07/18/19	REVOKED		\$ 2,000	failure to apply for an annual permit to practice
GREGORY BOOTHE WHITE	6645	19CPE-1	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements



LIST OF DISCIPLINARY ACTIONS  
as of September 19, 2019

NAMED CPA	CERT #	CASE NO	DATE BOARD HEARING SET	ACTION TAKEN	FINE PER COUNT	FINE TOTAL	SHORT DESCRIPTION OF COMPLAINT
AMY N. MCCAIN	3075	19CPE-2	09/20/19	CENSURED		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
LEA SWEENEY CARWIE	9950-R	19CPE-3	09/20/19	CENSURED		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
COBA SUSAN CORNEJO	10926	19CPE-4	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
CLAUDE HAMPTON WILLIS	11802	19CPE-5	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
RANDALL M HANCOCK	3173	19CPE-6	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
JANIS R. BIGGERS	4098-R	19CPE-7	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
SANDRA D. EDWARDS	5151	19CPE-8	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
TRACIE CHANDLER MANDERSON	8867	19CPE-9	09/20/19	CENSURED		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
ELIZABETH LUCILLE SPURGEON	9503	19CPE-10	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
ALISON LEAH COOKE	10076	19CPE-11	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
ROBERT R BROGDON	1716	19CPE-12	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
ALEXANDRIA PARRISH	9532-R	19CPE-13	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
AUBREY DOUGLAS BEESON	11062	19CPE-15	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
NATHAN ALAN EDWARDS	12330-R	19CPE-16	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
LACY SHIRELLE HOLLADAY CRAWFORD	12402	19CPE-17	09/20/19	N/A		\$ 300	failure to fully comply with CPE attendance and/or reporting requirements
DALE MCDANAL MOORE	7040	19CPE-18	09/20/19	N/A		\$ 2,000	failure to fully comply with CPE attendance and/or reporting requirements
REYNOLD AMES GUSTASON JR	9043	19CPE-19	11/19/19	REVOKED		\$ 5,000	failure to fully comply with CPE attendance and/or reporting requirements